

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.  
14356Z

In Re Application Of: Mikio Oda et al.

JUL 30 2004

PATENT & TRADEMARK  
RECEIVED

| Application No. | Filing Date     | Examiner   | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-----------------|------------|--------------|----------------|------------------|
| 10/681,019      | October 8, 2003 | Unassigned | 23389        | 2812           | 6178             |

Title: **OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);  
**OR**  
 the fee set forth in 37 CFR 1.17(p).

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**OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on July 28, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

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PJE:jam

Dated: July 28, 2004

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Mikio Oda et al.

**Examiner:** Unassigned

**Serial No.:** 10/681,019

**Group Art Unit:** 2812

**Filed:** October 8, 2003

**Docket:** 14356Z

**For:** OPTICAL CIRCUIT IN WHICH  
FABRICATION IS EASY

**Dated:** July 28, 2004

**Conf. No.:** 6178

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

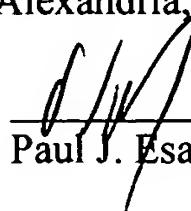
1. Japanese Laid-Open Patent Application No. 2000-221419 dated August 11, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on July 28, 2004.

Dated: July 28, 2004

  
Paul J. Esatto, Jr.

The reference was cited in an Office Action dated June 28, 2004, received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited reference, together with an English translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

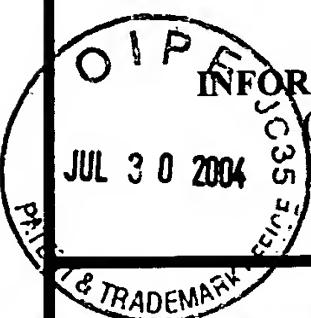
office in a counterpart foreign application not more than three months prior to the filing of this  
Information Disclosure Statement.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

JUL 30 2004

**(Use several sheets if necessary)**

**Docket Number (Optional)**

**Application Number**  
**10/681,019**

**Applicant(s)**

Mikio Oda et al.

**Filing Date**

October 8, 2003

Group Art Unit

2812

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)**

Page 1 of 1

## **EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.